

PCT #3

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Rodney L. Sparks, J.D., Ph.D. Biotechnology Patent Counsel

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May 5, 2005

Nathan Thielman, MD, MPH
Assistant Professor of Medicine
Div. of Infectious Diseases & International
Health
Box 3152, Room 0376HS
Duke University Medical Center

Aldo A.M. Lima Rua Pinho Pessoa #1289 Apr. 1000, Aldeota Fortaleza CE 60.000 BRAZIL

Re:

Durham, NC 27710

U. S. Patent Application Serial No. 10/530,805 filed April 8, 2005

National Stage of PCT/US2003/032379 filed October 10, 2003

Title: Use of Stable Glutamine Derivatives to Improve Drug Absorption

Our Reference: Guerrant-Clinical (00826-03)

Dear Inventors:

We are pleased to inform you that the above-identified PCT National Stage application was filed in the US Patent and Trademark Office on April 8, 2005. We have enclosed a copy of this application, which includes amendments to the specification. Please review and keep for your records.

We also enclose, for the inventors to sign, an assignment document assigning the rights in the captioned patent application from the inventors to the University of Virginia. Please sign and date the Assignment in the presence of a notary, have the notary affix their seal, and return the notarized document to us at your earliest convenience. (If it would be more convenient for you, we have a notary public at our office that can witness your signature.) Please fill in your resident address below the signature line if that information is missing, or correct that information if there

Page 2 of 2 May 5, 2005 UVAPF Reference: Guerrant-Clinical (00826-03)

is an error.

المسترية حمداره

As you are aware, there are also three important duties of each applicant (i.e., inventor) for a United States Patent; namely, the duties to name the true inventors; to disclose material information; and to disclose the best mode for practicing the invention. Anyone involved in the preparation and prosecution of a patent application has a continuing duty to disclose to the Patent Office all information of which they are aware, which is "material" to the examination of the application. Information may be material where there is a substantial likelihood that a reasonable Examiner would consider important in deciding whether to allow the application to issue as a patent.

We will prepare and file an information disclosure statement listing any information provided by you, or that is known to us to be material to the examination of the application. In addition, we will supply the U. S. Patent Office with one copy of each of the documents listed on the information disclosure statement. This information disclosure statement should be filed in the Patent Office within three months from the filing date of the application, or before the first office action is mailed. Later submissions may require the payment of a fee.

Please advise us as soon as possible of any information believed to be material to the examination of this application. This information includes prior art patents or literature and may also include earlier sales or public use of the invention or items or processes related to the invention. We also request that if possible you provide us with hard copies of those references. Please call us if you have any questions about what type of information should be disclosed.

Please note, that it is not necessary for you to conduct any searches of the literature, and you are not required to provide references that are cumulative in nature. Simply provide us with copies of references that are currently known to you to be relevant to the patentability of the captioned application.

If you have any questions regarding this matter, please do not hesitate to contact me.

Sincerely,

Rodney L. Sparks

Biotechnology Patent Counsel

Rodney I. Speaks

RLS/sh Enclosure

cc: Rob Capon (w/ enclosure - copy of application)

AlGlutamine, LLC

JC10 Rec'd T/PT0 2 3 MAY-139005 02-2005

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER 00826-03

U.S. APPLICATION NO. (If known, see 37 CFR 1.5) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/US2003/032379 10 October 2003 (10.10.2003) 11 October 2002 (11.10.2002) TITLE OF INVENTION Use of Stable Glutamine Derivatives to Improve Drug Absorption APPLICANT(S) FOR DO/EO/US UNIVERSITY OF VIRGINIA PATENT FOUNDATION; GUERRANT, Richard L.; THIELMAN, Nathan M.; BRITO, Gerly Anne de Castro and LIMA, Aldo A. M. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). X Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. A substitute specification. A power of attorney and/or change of address letter. computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. A second copy of the published International Application under 35 U.S.C. 154(d)(4). A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden; should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Other items or information: Small Entity Statement, Post Card Receipt

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PTO-1390 (Rev. 02-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO.				ATTORNEY'S DOCKET NUMBER	
PCT/US2003/032379				008	326-03
The following fees have been submitted				CALCULATIONS	PTO USE ONLY
21. X Basic national fee\$300				\$ 300	0.00
22. X Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)				\$ 100	0.00
23. X Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority				100	.00
TOTAL OF 21, 22 and 23 =				\$ 500	.00
sequence listing	r specification and drawings file or computer program listing fi for each additional 50 sheets of				
Total Sheets E		h additional 50 or fraction up to a whole number)	RATE		·
34 - 100 =	-66 _{/50 =}		x \$250	\$ 0.	.00
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).				\$ 0.	.00
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	
Total claims	61 -20=	41	. x. \$ 50 .	\$ 2,050.	.00
Independent claims	3 -3=	0	× \$200		00
MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360			+ \$360	\$ 360.	
TOTAL OF ABOVE CALCULATIONS =				\$ 2,910.	
Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.				1,455.0	
SUBTOTAL =				\$ 1,455.0	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).				\$ 0.00	
TOTAL NATIONAL FEE =				\$ 1,455.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$ 0.00	
		TOTAL F	EES ENCLOSED =	\$	1,455.00
				Amount to be refunded:	\$
				Amount to be charged:	\$
a. A check in th	ne amount of \$	to cover the abo	ve fees is enclosed.		
b. X Please chard	ge my Deposit Account No5			ver the above fees.	
c. X The Commiss	sioner is hereby authorized to o 50-0423 . A duplicate cop	charge any additional fees wh	ich may be required, o	or credit any overpayme	ent to Deposit
d. Fees are to be	e charged to a credit card. WA	RNING: Information on this for	om may become publ	lic. Credit card informa	ation should not
NOTE: Where an appr	on this form. Provide credit car	FR 1.495 has not been met		(37 CFR 1.137(a) or (b))) must be filed
	the International Application	n to pending status.		0 0 1	
RENDREYL CORPOSEONDENCE TO:			Kolney	L. Sparks	
University of Virginia Patent Foundation 1224 West Main Street, Suite 1-110				L. Sparks	
Charlottesville, VA 22903 Rodney L. Sp					
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